



1764

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : MORI et al.  
Serial No. : 09/720,623 Group Art Unit: 1764  
Filed : December 27, 2000  
For : PROCESS FOR PRODUCING HYDROCARBON-PHENOL  
RESIN AND PRODUCING EPOXY RESIN

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
AND NOTIFICATION OF COPENDING COMMONLY ASSIGNED  
RELATED APPLICATION**

Assistant Commissioner For Patents  
Washington, DC 20231

Sir:

The citations enumerated on the list of references cited (modified Form PTO-1449) appended hereto may be material to the patentability of the above-identified application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR 1.56. The Examiner is requested to make these citations of official record in this application by initialling the citations on the enclosed modified Form PTO-1449.

Japanese patent publication nos. 11-199657 (July 27, 1999) and 11-199659 (July 27, 1999) relate to the production of hydrocarbon phenol resin using a Friedel-Crafts catalyst. An English abstract of each Japanese reference is enclosed.

Japanese patent publication no. 11-49936 (February 23, 1999) relates to an epoxy resin composition and semiconductor device which provides semiconductor sealing epoxy resin compositions excellent in YAG laser marking properties. The epoxy resin contains not less than 30% by weight dicyclopentadiene-modified phenolic epoxy resin.

The Examiner is notified of the following copending commonly assigned related application:

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MAY -9 2001  
TC 1700 MAIL ROOM

MORI et al. - Serial No. 09/720,623

Supplemental Information Disclosure Statement and Notification of  
Depending Commonly Assigned Related Application



1. U. S. Serial No. 09/807,572, filed April 13, 2001, inventors Satoshi Mori, Hiaki Oshimi, and Ryuichi Ueno, entitled "Phenolic Resin, Epoxy Resin, and Processes for Producing Them."

This Information Disclosure Statement Under 37 CFR 1.56 is not to be construed:

1) as an admission that the information cited is, or is considered to be material to patentability as defined in 37 CFR 1.56(b), 2) as a representation that a search has been made, 3) that additional information that may be material to the patentability of this application does not exist, or 4) that any one or more of these citations constitute prior art under 35 USC 102.

If any fees are due, please charge our Deposit Account No. 501032.

Respectfully submitted,

A handwritten signature in cursive script that reads "Barry I. Hollander".

Barry I. Hollander  
Reg. No. 28,566

Hollander Law Firm, P.L.C.  
Suite 305, 10300 Eaton Place  
Fairfax, Virginia 22030  
(703) 383-4800

Enclosures:  
Form PTO 1449 (1 sheet)  
Reference copies

May 4, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence dated 5/4/01 is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 5/4/01.

A handwritten signature in cursive script that reads "Phyllis D. Caldwell".  
HOLLANDER LAW FIRM, P.L.C.  
Suite 305  
10300 Eaton Place  
Fairfax, Virginia 22030

Date: 5/4/01

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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<p>Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a currently valid OMB control number.</p> <p>Substitute for form 1449A/PTO</p> <p style="font-size: 2em; font-weight: bold; text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p style="text-align: center;">(Use as many sheets as necessary)</p>				<p style="font-weight: bold;">Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">09/720,623</td> </tr> <tr> <td>Filing Date</td> <td>December 27, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>MORI</td> </tr> <tr> <td>Group Art Unit</td> <td>1764</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>MAEJ-136</td> </tr> </table>		Application Number	09/720,623	Filing Date	December 27, 2000	First Named Inventor	MORI	Group Art Unit	1764	Examiner Name		Attorney Docket Number	MAEJ-136
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First Named Inventor	MORI																
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Sheet	1	of	1														

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Examiner Signature		Date Considered	
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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